



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : Jeffrey D. Messerly, et al.

Serial No. : 10/659,416 Art Unit: Not Assigned

Filed : September 10, 2003 Examiner: Not Assigned

For : ULTRASONIC SURGICAL INSTRUMENT INCORPORATING FLUID

**MANAGEMENT** 

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner For Patents, PO Box 1450, Alexandria, VA 22313-1450 on

May 26, 2005
(Date of Deposit)

Verne E. Kreger, Jr.

(Name of applicant, person signing, assignee, or Registered Representative)

(Name E. Kreger, Jr., Reg. #35231/
Verne E. Kreger, Jr.

(Signature)

May 25, 2005
(Date of Signature)

Commissioner for Patents PO Box 1450 Alexandria, VA 22313-1450

## FIRST SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Dear Sir:

Pursuant to 37 C.F.R. §1.56 and in accordance with 37 C.F.R. §§1.97-1.98, information relating to the above-identified application is hereby disclosed. Inclusion of information in this statement is not to be construed as an admission that this information is material as that term is defined in 37 C.F.R. §1.56(b).

Applicant(s) reserve(s) the right to establish the patentability of the claimed invention over any of the information provided herewith, and/or to prove that this information may not be prior art, and/or to prove that this information may not be enabling for the teachings purportedly offered.

WW

or that information more material to the examination of the present patent application does not exist. 冈 In accordance with §1.97(b), since this Information Disclosure Statement is being filed either within three months of the filing date of the above-identified national application (other than a continued prosecution application under §1.53(d)), within three months of the date of entry into the national stage of the above identified application as set forth in \$1.491, or before the mailing date of a first Office Action on the merits of the above-identified application, or before the mailing date of a first Office Action after the filing of a request for continued examination under §1.114, no additional fee is required. In accordance with \$1.129(a), this Information Disclosure Statement is being filed in connection with \_ the first or \_ second After Final Submission, therefore: Statement in Accordance with \$1.97(e) (attached); or Please charge Deposit Account No. 10-0750/END0797USNP/VEK the fee of \$180.00 as set forth in \$1.17(p). In accordance with \$1.97(c), this Information Disclosure Statement is being filed after the period set forth in \$1.97(b) above but before the mailing date of either a Final Action under §1.113 or a Notice of Allowance under §1.311, or an action that otherwise closes prosecution and that it is accompanied by one of: Statement in Accordance with \$1.97(e) (attached); or Please charge Deposit Account No. 10-0750/END0797USNP/VEK the fee of \$180.00 as set forth in §1.17(p). In accordance with \$1.97(d), this Information Disclosure Statement is being filed after the mailing date of either a Final Action under §1.113 or a Notice of Allowance under \$1.311 but before the payment of the Issue Fee. Applicant(s) hereby petition(s) for consideration of this Information Disclosure Statement. Included are: Statement in Accordance

Ġ

This statement should not be construed as a representation that a search has been made,

with  $\S1.97(e)$  as set forth below and the fee of  $\S180.00$  as set forth in  $\S1.17(p)$ .

$\boxtimes$	Copies of only foreign patent documents and non-patent literature are enclosed				
in accordance	e with 37 CFR 1.98 (a)(2).				
herewith EXC	Copies of references listed on the attached Form PTO-1449 are enclosed CEPT THAT:				
	The cases cited in US Application No. XX/XXX,XXX to which this case claims priority are not enclosed.				
	If any of the foregoing publications are not available to the Examiner, Applicant will endeavor to supply copies at the Examiner's request.				
as follows:	There are no listed references which are not in the English language.  The relevance of those listed references which are not in the English language is				
which are list	Attached are copies of search report(s) from corresponding patent application(s), sed on the attached Submission Under MPEP 609 D.				
	Attached are the following non-published pending patent applications which				
may be deem	ed relevant, which are listed on the attached Submission Under MPEP 609 D.				
Please	e charge any deficiency or credit any overpayment to Deposit Account No. 10-				
0750/END07	97USNP/VEK. This form is submitted in triplicate.				
	Respectfully submitted,				

Respectfully submitted,

<u>Nerne E. Kreger, Jr., Reg. #35231/</u>

Verne E. Kreger, Jr.

Verne E. Kreger, Esq. Reg. No. 35,231 Attorney for Applicants

Johnson & Johnson One Johnson & Johnson Plaza New Brunswick, NJ 08933-7003 (513) 337-3535 DATED: May 25, 2005 MAY 3 1 2005 U.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to

PTO/SB/08A (08-00)
Approved for use through 10/31/2002. OMB 0651-0031
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Substitute for form 1449A/PTO

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)
Sheet 1 of 1

a conection of information unless it displays a valid OND control number.				
Application Number	10/659,416			
Filing Date	September 10, 2003			
First Named Inventor	Jeffrey D. Messerly			
Group Art Unit	Not Assigned			
Examiner Name	Not Assigned			
Attorney Docket Number	END0797USNP			

		U.S. Patent Docu	ment				
Examiner Initials	Cite No. <sup>1</sup>	Number	Kind Code <sup>2</sup> (if known)	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document mm-dd-yyyy		
		5,957,936	Α	Yoon, et al.	09-28-1999	ALL	
-							

## **FOREIGN PATENT DOCUMENTS** Foreign Patent Document Date of Publication Pages, Columns, Lines, Name of Patentee or of Cited Document where relevant Examiner Cite passages or relevant T<sup>6</sup> mm-dd-yyyy Applicant of Cited Document Initials figures appear Office<sup>3</sup> Number<sup>4</sup> KindCode5 No.1

Examiner	Date
Signature	Considered

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U. S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS, SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Unique citation designation number. 2 See attached Kinds of U.S. Patent Documents. 3 Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 4 For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 5 Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. 6 Applicant is to place a check mark here if English language Translation is attached.



## SUBMISSION UNDER MPEP 609 D

Page 1 of 1

Application Number	10/659,416
Filing Date	September 10, 2003
First Named Inventor	Jeffrey D. Messerly
Group Art Unit	Not Assigned
Examiner Name	Not Assigned
Attorney Docket Number	END0797USNP
	•

U.S. PATENT DOCUMENTS

			U.S. Patent Document		
Examiner Initials	Cite No.¹	Name of Patentee or Applicant of Cited Document	Number	Kind Code <sup>2</sup> (if known)	Pages, Columns, Lines, where relevant passages of relevant figures appear
		. <u>.</u>			
					·

FOREIGN PATENT DOCUMENTS

Examiner Initials	Cite No. <sup>1</sup>	Name of Patentee or Applicant of Cited Document	Foreign Pa	Number <sup>4</sup>	ent KindCode <sup>5</sup>	Pages, Columns, Lines, where relevant passages or relevant figures appear	T <sub>6</sub>
						ALL	
		OTHER PRIOR ART - N					
Examiner 's Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published			T²		
		International Search Report date International Patent Application No.	d January b. PCT/US0	6, 2005 fo 3/29474 (A	or correspor Atty. Docket E	nding patent application, END0797).	
				-			

Examiner	Date	*
Signature	Considered	